

Roger Pratt
LPL Financial Advisor

Roger has over 40 years of Financial Services experience. After returning from a tour with the US Navy in Japan, Roger worked two jobs while earning his degree in Finance from Long Beach State. The first was the “best job he ever had” - driving the Monorail at Disneyland back in the day when Walt Disney was around. The second job started daily at 6am in the basement of the Paine Webber building in downtown Long Beach, where Roger used a ten key adding machine to calculate daily buying power for the traders on the floors above. After college graduation, Paine Webber sent Roger to New York for training on the NYSE. Roger lived on 42nd Street and took the subway every morning to Wall Street, and learned the art investing in the heart of the financial world. One highlight was a short jaunt as a runner on the floor of the NYSE exchange. A few years later that job was replaced by a computer.

Roger then returned to southern California, and after five years left the brokerage business to join Union Bank’s Trust Division, specializing in Corporate Trust and retirement plans. Roger then moved on to the commercial side of the Bank, reaching Senior Vice President, before moving on to the independent Banking area. There he spent a number of years as a Bank President, at three separate institutions, each specializing in small business banking.

When the banking industry changed, Roger tried “retirement” in Lake Tahoe. In 2000, he became bored and returned to his original profession as a stockbroker with Morgan Stanley / Dean Witter on Kingsbury Grade. He has worked with Edward Jones and Ameriprise over the years. He also shared his passion and expertise in the financial arena teaching as a professor at Lake Tahoe Community College with his courses “Introduction to Investing and the Stock Market” and the “Art of Negotiation.” He also is actively involved in the local community and has served as president of the Rotary Club of South Lake Tahoe. Fifteen years later and still in the business locally, he and Nicholas Abelow started the financial planning firm of Abelow Pratt and Associates. Nicholas has over ten years of experience previously with Ameriprise Financial, and is deeply committed to our local community in this new partnership with Abelow Pratt and Associates. Nicholas follows in Roger’s footsteps and is now active in Rotary. In his free time, Roger is an avid sailor, active in a sailing club based out of San Francisco and taking several adventurous sailing trips to international locales like Thailand and Mexico every year. He is also a 3rd degree black belt in the nonviolent martial art form of Aikido.

Roger Pratt is a Financial Advisor with, and securities offered through LPL Financial, Member FINRA/SIPC.